SEC Form 4
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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* CUMMING JOHN W				ssuer Name <b>and</b> Ticker <u>2 Biosystems, Inc</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>, orne etc</u>						X	Director	10% 0	Owner		
(Last)	(First)	(Middle)		Date of Earliest Transact /25/2021	tion (Month/D	ay/Year)		Officer (give title below)	Other below	(specify )		
101 HARTWEL	L AVE											
			4. I	f Amendment, Date of C	Driginal Filed (	Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)							Line)	E				
LEXINGTON MA 02421							X Form filed by One Reporting Person					
								Form filed by More than One Reporting Person				
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transactior Date (Month/Day/Ye	Execution Date, ear) if any	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

#### Reported Transaction(s) (Instr. 3 and 4) (A) or (D) Code v Amount Price Common Stock 06/25/2021 М 45,454 Α (1) 69,034 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

	(														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	06/25/2021		М			45,454	(2)	(2)	Common Stock	45,454	\$0.00	45,454	D	
Restricted Stock Units	(1)	06/25/2021		A		81,967		(3)	(3)	Common Stock	81,967	\$0.00	81,967	D	

### Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.

2. On August 6, 2020 the reporting person was granted 45,454 restricted stock units that vested on June 25, 2021.

3. The restricted stock units vest in one installment on the earlier of (i) June 25, 2022 and (ii) the date of the next annual meeting of stockholders.

## **Remarks:**

/s/ John Sprague, Attorney-in-06/29/2021 <u>fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.